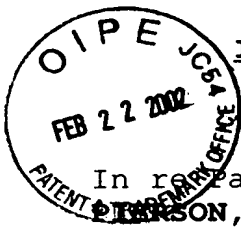


Section 103 #3



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
**PETERSON, ET AL.**

Serial No. **09/938,288**

Filing Date: **August 23, 2001**

For: **METHODS OF FORMING A  
COMPACT DISC**

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Responsive to the Notice to File Missing Parts  
mailed September 26, 2001, Applicants enclose the following:

1. Filing fee of \$740.00 for a large entity (fees calculated as shown below):

	(Col. 1)		(Col. 2)		LARGE ENTITY	
FOR:	# FILED		# EXTRA		RATE	FEE
BASIC FEE						\$ 740
TOTAL CLAIMS	9	-20	0		X 18	\$ -
INDEP CLAIMS	2	- 3	0		X 84	\$ -
*If the difference in Col. 1 is less than "0", enter "0" in Col. 2.					TOTAL	\$ 740

2. Surcharge of \$130.00 for large entity.
3. Request for Extension of Time

In re Patent Application of:  
**PIERSON ET AL.**  
Serial No. **09/938,288**  
Filing Date: **08/23/01**

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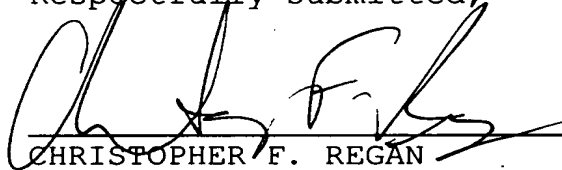
4. Copy of "Notice to File Missing Parts of Application--Filing Date Granted" mailed September 26, 2001.

Check in the amount of \$870.00 to cover the filing fee and surcharge.

If any additional extension and/or fee is required, or if any additional fee for claims is required, charge.

Account No. **01-0484**.

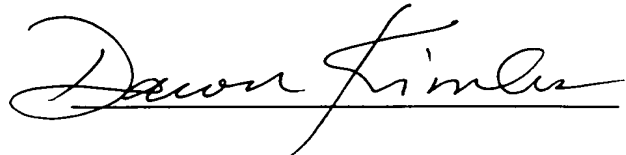
Respectfully submitted,



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Attorney for Applicant

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: ASSISTANT COMMISSIONER FOR PATENTS AND TRADEMARKS, WASHINGTON, D.C. 20231, on this 4<sup>th</sup> day of February, 2002.



In re Patent Application of:

**PIERSON ET AL.**

Serial No. **09/938,288**

Filing Date: **08/23/01**

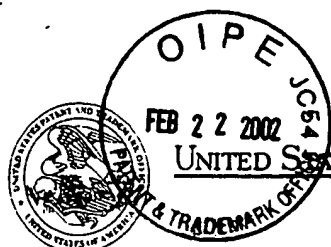
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/938,288	08/23/2001	Gerald A. Pierson	18554F

CONFIRMATION NO. 1186

FORMALITIES LETTER



\*OC00000006794837\*

Jeffrey S. Whittle, Esq.  
Allen, Dyer, Doppelt, Milbrath & Gilchrist, P.A.  
P.O. Box 3791  
Orlando, FL 32802-3791

Date Mailed: 09/26/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

02/28/2002 NMOHAMM1 00000063 09938288

01 FC:101	740.00 OP
02 FC:105	130.00 OP

*A copy of this notice **MUST** be returned with the reply.*

*S. H.*

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